



香港投資者學會
Hong Kong Institute of Investors

世界投資者協會聯盟成員
Member of World Federation of Investors Corporation (WFIC)

Dear President,

Re: HKII Education Fund

The Tertiary Division of the Hong Kong Institute of Investors was set up in 2001. In the past years, the Division has been well-developed with the wholehearted support of all Founding and Supporting Societies. As a token of appreciation for all the contribution made by our Founding and Supporting Societies, the Hong Kong Institute of Investors has launched “HKII Education Fund”, which is open for our Founding and Supporting Societies. Through this Fund, we believe it can help organizing more diversified, meaningful and extensive investment-related activities targeting at tertiary students who will play an important role in shaping healthy development of Hong Kong's financial industry in the long run.

HKII Education Fund is a Sponsorship Scheme specially designed for your society. Under this new Scheme, cash sponsorship will be provided to our Founding and Supporting Societies and the amount of sponsorship will depend on the nature and impact of the proposed project. The proposed program may be solely organized by our Founding Society, Supporting Society or jointly organized with the Hong Kong Institute of Investors and / or its Tertiary Division.

The education fund will be granted to applicants who are keen on organizing creative, innovative and influential educational activities aiming at helping students instill a proper investment attitude and refine their investment skills in the long run. This Scheme is a unique opportunity for your society to plan and develop various events in the coming year. If you share with us the commitment to investment education, please do not hesitate to send us your application.

Yours faithfully,

Tam Siu Hing, Ricky
Founder & Chairman
Hong Kong Institute of Investors



HKII Education Fund

The HKII Education Fund is a Sponsorship Scheme commenced in March 2003. Under this Scheme, it offers cash sponsorship to finance the Founding and Supporting Societies to organize outstanding students' activities. The sponsored function may be solely organized by the Founding Society, Supporting Society or jointly organized with Hong Kong Institute of Investors and / or its Tertiary Division.

Successful applicants should have excellent ideas and strong management skill in organizing events. They are expected to have a strong sense of commitment to enhancing tertiary students' knowledge of the various financial markets and investment tools, through which students can cultivate a proper investment concept applied in the actual investment environment and refine their investment skills in the long run.

The cash sponsorship is granted at the discretion of the Education Fund Committee of the Hong Kong Institute of Investors [the Fund Committee]. The Committee is composed of people who are the Representative of the Board of Directors of the Institute, the Councilors, Vice Chairman and Department Heads or Executive Committee Members of the Tertiary Division.

AMOUNT OF SPONSORSHIP

Applicants should submit a detailed proposal covering objective, program rundown, proposed sponsors, budget plan and requested sponsorship amount. The amount of each sponsorship greatly depends on the nature and impact of the proposed project and there is no upper and lower limit for the sponsorship amount. However, the Institute has the right to advise the format of implementation for the proposed programme so as to meet the Institute's mission and requirements. Also, the Institute reserves the final right of determining the amount granted to the recipient after taking into account the proposal and financial status of the applicant.

Each sponsorship will be paid out in installments after the launch of the programme and the payment interval will be decided on an individual case. Successful candidates will receive an acceptance letter stating the amount of sponsorship and payment term. For processing the payment, successful candidates have to sign the acceptance letter and return to the Institute for further action. Meanwhile, the recipient has to submit a brief report of the progress for assessment during the course of the function. If the Institute finds out that the recipient does not fulfill what he/she has promised and stated in the proposal without prior notice with sound reasons, the Institute has the right to stop payment immediately and does not take any responsibility for the consequence caused by the termination of the sponsorship. Also, the Institute reserves the right to request the recipient, i.e. sponsored society, to



refund all the paid sponsorship amount to the Institute and take further action against the sponsored society's dishonest behavior if necessary.

ELIGIBILITY

Founding and Supporting Societies are eligible for applying HKII Education Fund. A society applicant for the Fund should be any one of the following recognized founding or supporting societies:

- Economics and Finance Departmental Society, City University of Hong Kong
- Society of Investment and Finance, City University of Hong Kong
- Management Society, City University of Hong Kong
- Business Management Society, Hong Kong Baptist University
- Association of Departments of Business Administration and Accounting, Hong Kong Shue Yan College
- Business Investment Society, Hong Kong Shue Yan College
- The Finance Students' Society, HKUSTSU, Hong Kong University of Science and Technology
- Business Administration Society, Lingnan University Students' Union
- China Trade Society, Lingnan University
- Society of Investment and Finance, Lingnan University
- Strategic Investment Society, The Chinese University of Hong Kong
- Economics Society, The Chinese University of Hong Kong
- The Society of Quantitative Finance, The Chinese University of Hong Kong
- Accounting Students' Society, The Hong Kong University of Science and Technology Students' Union
- Economics and Finance Society, bea HKUSU, The University of Hong Kong

CONDITIONS OF SPONSORSHIP

A successful candidate must comply with the following conditions:

- Logos of Hong Kong Institute of Investors and / or its Tertiary Division must be printed on all of the promotion items of the sponsored event. The Institute has the right to finalize the position and the size of the logos. The status of the Institute in this Sponsorship, such as Joint Organizer, Supporting Organization will be decided by the Institute and will also be printed in all marketing materials.
- The Institute has the right to use and publish the information related to the recipients for the purpose of promoting the Sponsorship in any form.
- The successful candidate has to submit a detailed evaluation report after the function before the final installment will be paid. As stated before, during the course of the function, if the Institute finds out that the recipient does not fulfill what he/she has promised and stated in the proposal without prior notice with sound reasons, the Institute has the right to stop payment immediately and does not take any responsibility for the consequence caused by the termination of the sponsorship. Also, the Institute reserves the right to request the recipient, i.e. sponsored society



to refund all the paid sponsorship amount to the Institute and take further action against the sponsored society's dishonest behavior if necessary.

- The successful candidate is allowed to accept any other sponsorships for the proposed event on the condition that the Institute has been notified by writing and has agreed to do so by sending a confirmation letter to the candidate.
- Final reimbursement has to be made within 2 months upon successful completion of the sponsored program; otherwise, it is assumed that the sponsored society will surrender the right of reimbursement and no refund will be made by HKII after the aforesaid deadline.
- Any other conditions that the Hong Kong Institute of Investors may advise from time to time and the Institute reserves the final right to amend the terms, conditions and adjust the amount of sponsorship without prior notice.

APPLICATION

- Application forms can be downloaded from the website
<http://td.hkii.org>

Deadline for Application (2 rounds in each year until further notice)

1st round : 30 June 2nd round : 31 October

The completed Application Form should be submitted to the **Hong Kong Institute of Investors Limited, c/o Chiu & Partners Solicitors, 41/F, Jardine House, Central, Hong Kong** together with the following documents before the deadline. The envelope should be marked with "**HKII Education Fund**". Late applications will not be considered.

- A current year plan showing the details of all the activities to be held throughout the year.
- A summary listing out the programs held last year.
- A detailed proposal with a clear objective, programme rundown and schedule, target audience and expected number of participants as well as any other proposed joint organizations and supporting organizations.
- A detailed budget plan and requested sponsorship amount.
- Any other relevant documents that may be useful for Fund Committee in making decision.

SELECTION

Short-listed candidates will be notified by email, and be required to provide information on the financial status of their societies. Any applicant who does not receive an invitation to give a presentation within one month after submitting the application may assume that his/her application has been unsuccessful. The decision of the Fund Committee will be final. Under no circumstances will the Fund Committee be required to explain its decision. The selection criteria are based on:

- If the theme of the function is to be in line with the Institute's mission.
- If the function is creative, innovative and attractive to achieve its objectives.
- If the proposal and budget is feasible and reasonable.
- If the organizing skill is strong in managing the function and any related experience in managing



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similar functions.

- If the Founding / Supporting Society has shown its full support to the Institute and its Tertiary Division in the past.

ENQUIRIES

Should you have any enquiries about the HKII Education Fund, please feel free to contact:

David Pow

Head of External Affairs, Tertiary Division

Hong Kong Institute of Investors

Tel: 90197494

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